NOTICE INVITING EXPRESSION OF INTEREST (EOI)

NO.FPM-21/2011-12/VOL-II/02/ Dated Kohima, the 14th April 2013

Subject: Notice Inviting Expression of Interest (EOI) for hiring the services of consultants for assisting the Project Monitoring Unit for the implementation of project "Capacity Development for Forest Management and Training of Personnel."

The Department of Forests, Ecology, Environment & Wildlife, Government of Nagaland is implementing a JICA assisted project in the State with the aim of capacity development of the frontline forest staff. The Project is of approximately 24 months duration and targets improvement in the training infrastructure and training methodologies. Department of Forests, Ecology, Environment & Wildlife, Nagaland intends to hire a reputed and consulting firm/organization/institute/consortium having team of professionally competent and experienced experts for assisting the Project Management Unit for the implementation of Capacity Development for Forest Management and Training of Personnel Project (the project). The expertise expected from the consultants will be in the field of Forestry including Joint Forest Management (JFM); Participatory Planning and Assessment including Participatory Rural Appraisal (PRA) and Communications Skills and Training Methods. Department of Forests, Ecology, Environment & Wildlife, Government of Nagaland invites Expression of Interest (EOI) from consulting firms/organizations/institutes/consortiums which have required expertise and experience in the relevant fields.

The detailed EOI notice is posted on the website of the Government of Nagaland and hard copy of the same is available in the office in the address given here under.

Mere submission of EOI will not confer applicants on any right for receiving or carrying out the tendered job. Department of Forests, Ecology, Environment & Wildlife, Nagaland reserves the right to accept/reject one or all EOIs or stop the process of approval at any stage, at its sole discretion without assigning any reasons and shall bear no liability whatsoever consequent upon such a decision.

Details indicating the assignment, eligibility, selection criteria and mode of submission of documents are as given below:

1. **Background:**
   In consideration of the roles and responsibilities of Department of Forests, Ecology, Environment & Wildlife, Nagaland as an executing agency of the Project, Department of Forests, Ecology, Environment & Wildlife, Nagaland intends to employ a professionally competent team of experts to assist the Project.

2. **Objective of the Consulting Services:**
   The objective of the consulting services for the State Project Monitoring Unit (SPMU) will be to provide the SPMU with managerial and technical assistance related to overall implementation of the Project.

3. **Scope of Work:** 3.1 Scope of work
   1. Assist Nagaland Forest Department (NFD) to finalize training materials in English & local language for frontline staff in terms of forestry and social science (PRA/JFM).
   2. Assist NFD to review and, in case it is necessary, to prepare State JFM Guidelines.
3. Assist NFD to prepare state JFM handbook and state training guidelines in English & local language.

4. Assist NFD to develop and finalize the State Training Improvement Plan.

5. Assist Master Trainers in NFD to plan State TOT program and to prepare syllabus for TOT.

6. Assist State Trainers (including Master Trainers) in NFD to prepare syllabus for Frontline Staff training, making reference to model syllabus prepared by Forests Department, Nagaland in consultation with MoEF and SAPROF study team.

7. Assist State Trainers (including Master Trainers) in NFD to prepare training materials for Frontline Staff in English & local language.

8. Supervise TOT for trainers and training for frontline staff and give advice to improve their implementation of training (on the job training).

9. Monitor trained frontline staffs' practice in the field.

3.2. Expected outputs

1. Develop and finalize the state training improvement plan - within 3 months from inception

2. Prepare syllabus for Frontline Staff training making reference to model syllabus prepared by MOEF and SAPROF study team. - within 13 months from inception

3. Prepare training material for Frontline Staff Training based on MOEF “Training Material for Frontline Staff” and other related resources English & local language. - within 17 months from inception

4. Plan state TOT Programme and to prepare syllabus for TOT - within 17 months from inception

5. Supervise TOT for trainers and training for Frontline Staff and give advice to improve their implementation of training (on the job training). - within 19 months from inception

6. Monitor trained Frontline staffs practice at field and submit a report regarding the same. - within 19 months from inception

7. Review and, in case it is necessary to prepare state JFM guideline English & local language. - within 12 months from inception

8. Prepare State JFM handbook and state JFM training guideline. - within 17 months from inception

9. A final draft exit policy paper for improving the State Environment and Forestry Training Institute, Dimapur and master training system. - within 15 months from inception

3.3 Place of execution

Experts will have to extensively tour SEFTI located at Dimapur in the state & territorial divisions for execution of the assignments as per guideline given by SPMU from time to time. Meetings and submission of reports will be done at SPMU office.
3.4 Required team of consultants with areas of expertise

(1) Forestry including JFM and team leader.
   - At least Masters Degree in Botany/ Zoology/ Agriculture/ Forestry or Associate of IGNFA/SFS, Diploma holder in forestry (which is equivalent to Masters in forestry)
   - At least 20 years experience in the field of forestry practice.
   - Good command of English.
   - Experience in training is desirable.

(2) Participatory Planning and Assessment including Participatory Rural Appraisal (PRA)
   - At least Masters Degree in any of Social Science fields/ Rural Development/ Associated fields.
   - At least 10 years experience in the field of Community Development practice.
   - Good command of English.

(3) Communications skills and training methods
At least Masters Degree in Social Science/ Communications or in associated field.
   - At least 10 years experience in the field of the training of trainers in communications
   - skills and training methods.
   - Expertise in communications skills and training methods (knowledge and experience in forestry and community development shall be an asset).
   - Good command of English.

3.5 Expected period of duration.
   TWO (2) years but it is subject to change. It shall be generally as follows:
   Forestry, Participatory Planning and Assessment, up to 19 months on continuous assignment basis; communications skills and training methods: up to 12 months on continuous assignment basis.

4. Submission of EOI

4.1 Place of submission

The EOI shall be submitted in the EOI forms downloaded from the official website of the Government of Nagaland in a sealed envelope through Speed Post/Registered Post or delivered by hand superscribing 'Capacity Development for Forest Management and Personnel Training' on top of the envelope to the following address.

Address:
Office of the Director, State Environment and Forestry Training Institute, (SEFTI), Nagaland, Dimapur-797112
4.2 Deadline of submission
The submission shall be up to 2 PM 13th May, 2013

4.3 EOI processing fees
No fees are required for EOI processing

4.4 Processing schedule
(1) Issuing Request for Proposal (RFP) to short listed applicants - about 30 days after the last date for submission of EOI
Applicants will be advised, in due course, of the results of their applications.
(2) Last date for receiving proposal - 30 days after the issuing date of RFP
(3) Start of negotiation with the proposer of the first ranked proposal - About four (4) weeks after the last date for receiving proposal

4.5 Form of EOI As annexed.

5. Selection procedure and criteria:
Applicants will be selected in two stages. Stage One is to check whether applicants are basically fit for the assignment while the Stage Two is to select most promising candidates among those who pass the Stage One test. The applicants should have core competent skilled manpower as well as sufficient experience in providing consulting services for activities related to the Project. In addition, the applicants should also have financial capability to carry out this assignment.

5.1 Stage One: Pass or Fail Test.
1) Pass or Fail Test with respect to
   ■ Relevant past experience;
   ■ Key relevant staff employed by the applicant; and
   ■ Financial capability (annual turnover & profit).
2) Criteria
   ■ Experience of actually being engaged as prime contractor or a partner of a joint venture that acted as prime contractor in the last five years to provide consulting services in the Related Field Projects*. The type of venture may be study, project preparation, implementation Management/supervision, or Monitoring and evaluation; yes or no;
   * Experts who have been on the applicant's pay roll for the last one year: at least one who meets1 the qualification requirements described in clause “3.4 Qualifications Required for areas of expertise” in Section 3 above: yes or no;
   1 In case of forestry expertise, the required length of experience may be 10 years for the purpose of State One test.

5.2 Stage Two: ranking for short-list.
Ranking of the applicants who have passed the Stage One test is to be made for short listing purpose by counting the total number of weighted persons/months that were provided by the applicant in the last five years for the Related Field Projects in any area of expertise described in clause “3.4 Qualifications Required for areas of expertise” in section 2 above. Weights will also be given according to the level of qualifications of experts assigned to the projects. Weight 1.0 will be accorded to those experts who are in the required area of expertise and meet the qualifications, and weight 0.5 to those who are in the required area of expertise but their qualifications are not up to the described requirements\(^2\). A cap of 2/3 is applicable for the sum of weighted person/month of any single area of expertise in the total weighted person/month of an applicant\(^3\). An applicant with the highest count is ranked first and the other applicants are ranked in the decreasing order of the respective counts, three to five highest ranked applicants will be selected and short listed at the discretion of Nagaland Forest Department for inviting for the submission of proposal.

As regards the formation of consortium, to exclude such consortiums as formed with slack partners just to increase the count of person months, each and every partner of a consortium is required, unless otherwise instructed in the Request for Proposal that should be issued to the short-listed applicants, to provide at least one expert, for the contract period, in any of the areas of expertise stipulated in clause "3.4 Qualifications for required areas of expertise" in Section 3 above. A consortium shall be post-disqualified should it breach in this regard while submitting the proposal. That requirement does not, however, prohibit the consortium to associate with other firms or reform the consortium when it submits the proposal as long as the partners presented in the Expression of Interest remain in the consortium and the condition of provision of experts by such partners is observed.

* **Related Field Projects are defined as:**

Either forestry training projects or projects related to the participatory Management of publicly used natural resources;

with the exception of "Forestry" to which weight 1.5 is given if all the requirements are met, and weight 1.0 is given if work experience of 10 years are met together with academic qualifications and weight 0.5 for those in forestry area including JFM but do not meet 10 year experience or academic qualification or the both.

In other words, in order to clear this cap, an applicant shall have provided consulting services in at least two areas of expertise stipulated in the Notice Inviting Expression of Interest and the total weighted person month of any single area of expertise shall not exceed the double of the sum of total weighted person months of all the other areas of expertise. In case an applicant provided consulting services in only one area of expertise, the final count of person month of the applicant will be 2/3 of the total weighted person month.
6. Others

6.1 Standard of ethics

JICA requires that consultants, bidders and contractors, under contracts funded with JICA ODA Loans and other Japanese ODA, observe the highest standard of ethics during the procurement and execution of such contracts. In pursuance of this policy, JICA;

(1) will recognize a consultant as ineligible to be awarded a contract funded with JICA ODA Loans if it determines that the consultant has engaged in corrupt or fraudulent practices in competing for the contract in question;

(2) will recognize a consultant as ineligible for a period determined by JICA, to be awarded a contract funded with JICA ODA Loans if it at any times determines that the consultant has engaged in corrupt or fraudulent practices in competing for, or in executing, another contract funded with JICA ODA Loans or other Japanese ODA.

6.2 Conflict of interest

(1) A firm hired to provide consulting services for this assignment, and each of its affiliates, shall be disqualified from subsequently providing for the Project goods, works or any other service related to this assignment other than a continuation of the "Services" under the ongoing contract.

(2) Neither consultants (including their personnel and sub-consultants) nor any of their affiliates shall be hired for any assignment that, by its nature, may be in conflict with another assignment of the consultants.

(3) Consultants (including their personnel and sub-consultants) that have a business or family relationship with such member(s) of Department of Forests, Ecology, Environment & Wildlife, Nagaland who are directly or indirectly involved in any part of;

(i) the preparation of the TOR of the contract, (ii) the selection process for such contract, or (iii) supervision of such contract; may not be awarded a contract unless it is established to the complete satisfaction of Department of Forests, Ecology, Environment & Wildlife, Nagaland for the reason to be recorded in writing, that such relationship would not affect the aspects of fairness and transparency in the selection process and Monitoring of consultant's work.
Expression of Interest Forms: Contents

EXPRESSION OF INTEREST
A. GENERAL INFORMATION
   General Experience Record Consortium Summary Consortium Agreement
B. PARTICULAR EXPERIENCE RECORD
   Details of Consulting Services Contracts for Related Field Projects Personnel Capabilities Summary:
   Core Skilled Manpower Financial Capability
Expression of Interest

To:
The Director,
State Environment and Forestry Training Institute, (SEFTI),
Nagaland, Dimapur.

Sir,

1. Being duly authorized to represent and act on behalf of...................................(hereinafter referred to as "the Applicant"), and having reviewed and fully understood all of the information provided in the Notice Inviting Expression of Interest, the undersigned hereby expresses its interest in and applies to be prequalified and short-listed by yourselves as a submitter of proposal for the consulting services for the Capacity Development for Forest Management and Personnel Training Project:

2. Attached to this Expression of Interest are copies of original documents defining¹:
(a) the Applicant's legal status; and
(b) the place of incorporation/organization/institution (for applicants who are corporations/organizations/institutes), or the place of registration and the nationality of the owners (for applicants who are partnerships or individually-owned firms).

¹ For applications by consortiums, all the information requested in the Notice Inviting Expression of Interest, is to be provided for the consortium, if it already exists and for each party to the consortium separately. The lead partner should be clearly identified. Each partner in the consortium shall sign this Expression of Interest.

3. The Department of Forests, Ecology, Environment & Wildlife, Nagaland and its authorized representatives are hereby authorized to conduct any inquiries or investigations to verify the statements, documents, and information submitted in connection with this Expression of Interest, and
to seek clarification from our bankers and clients regarding any financial and technical aspects. This Expression of Interest will also serve as authorization to any individual or authorized representative of any institution referred to in the supporting information, to provide such information deemed necessary and as requested by the Ministry to verify statements and information provided in this Expression of Interest, such as the human resources, experience, and competence of the Applicant.

4. The Department of Forests, Ecology, Environment & Wildlife, Nagaland and its authorized representatives may contact the following persons for further information:

<table>
<thead>
<tr>
<th>Experience inquiries</th>
<th>Personnel inquiries</th>
<th>Financial inquiries</th>
</tr>
</thead>
<tbody>
<tr>
<td>Contact</td>
<td>Telephone</td>
<td>Contact</td>
</tr>
<tr>
<td>Telephone</td>
<td>Contact</td>
<td>Telephone</td>
</tr>
</tbody>
</table>

5. This Expression of Interest is made with the full understanding that:

(a) all information submitted in this Expression of Interest for qualification and selection for short-listing will be subject to verification at the time of submitting proposal by short-listed applicants;

(b) the Department of Forests, Ecology, Environment & Wildlife, Nagaland reserves the right to reject or accept any application, cancel the qualification and selection for short-listing process at any stage, and reject all applications;

(c) the Department of Forests, Ecology, Environment & Wildlife, Nagaland shall not be liable for any such actions and shall be under no obligation to inform the Applicant of the grounds for them.

Applicants who are not joint ventures should delete para. 6 and initial the deletion.

6. We confirm that if we submit a proposal, that proposal, as well as any resulting contract, will be:

(a) signed so as to legally bind all partners, jointly and severally; and

(b) submitted with a consortium agreement providing the joint and several liability of all partners in the event the contract is awarded to us.

7. The undersigned declare that the statements made and the information provided in this Expression of Interest are complete, true, and correct in every detail.

Signed Name

Signed Name
<table>
<thead>
<tr>
<th>For and on behalf of (name of Applicant or lead partner of a joint venture)</th>
<th>For and on behalf of (name of partner)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Signed Name</td>
<td>Signed Name</td>
</tr>
<tr>
<td>For and on behalf of (name of partner)</td>
<td>For and on behalf of (name of partner)</td>
</tr>
</tbody>
</table>
**EXPRESSION OF INTEREST FORM (2)**

**General Experience Record**

Name of Applicant or partner of a Consortium

All individual firms and all partners of a consortium are requested to complete the information in this form. The information supplied should be the annual profits after taxes, and they should be converted to Indian Rupees if they were not in Indian Rupees at the rate of exchange at the end of the period reported. Information in this Form shall be the same as the information on the corresponding items of "Financial Capability" (Form (5)). Use Form (2a) and attach Form (2b) in case the Applicant is a consortium.

Applicants should not be required to enclose testimonials, certificates, and publicity material with their applications; they will not be taken into account in the evaluation of qualifications.

Financial Data (related to activities of consulting services only)

(Unit: INR)

<table>
<thead>
<tr>
<th>Year</th>
<th>Annual turnover</th>
<th>Profit after taxes</th>
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</thead>
<tbody>
<tr>
<td>1.</td>
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<tr>
<td>2.</td>
<td></td>
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<td>3.</td>
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</tbody>
</table>
**Consortium Summary**

**Names of all partners of a Consortium**

1. Lead partner
2. Partner
3. Partner

**Total value of annual consulting services profits after taxes in the last three accounting years of each partner as well as the Consortium, i.e., sum of all the partners, in Indian Rupees, converted at the rate of exchange at the end of the period reported:**

<table>
<thead>
<tr>
<th>Partner</th>
<th>Year 1</th>
<th>Year 2</th>
<th>Year 3</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Lead partner</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>2. Partner</td>
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<td></td>
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<tr>
<td>3. Partner</td>
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<tr>
<td>Total of the Consortium</td>
<td></td>
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</tbody>
</table>

**Annual profit data (after taxes; Indian Rupees equivalent)**

<table>
<thead>
<tr>
<th>Partner</th>
<th>Year 1</th>
<th>Year 2</th>
<th>Year 3</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Lead partner</td>
<td></td>
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<tr>
<td>2. Partner</td>
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<tr>
<td>3. Partner</td>
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<tr>
<td>Total of the Consortium</td>
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</table>
EXPRESSION OF INTEREST FORM (2b)

Consortium Agreement

To:
The Director,
State Environment and Forestry Training Institute, (SEFTI),
Nagaland, Dimapur.

The undersigned of this declaration of cooperation are authorized to act with regard to the Expression of Interest in the consulting services for the Capacity Development for Forest Management and Personnel Training Project and on behalf of their organizations. They hereby declare:

1. that they will legalize a consortium Agreement in case that a consulting services Contract indicated in the Notice Inviting Expression of Interest for the Capacity Development for Forest Management and Personnel Training Project (the Contract) is awarded to their group;
2. that they have nominated _______________________[name of the lead partner] as the Sponsor Firm of the group for the purpose of this Expression of Interest;
3. that they authorized Mr./Ms.________________ [name of the person who is authorized to act as the Representative on behalf of the Consortium] to act as the Representative in the name and on behalf of their group for the purpose of this Expression of Interest.
4. that all partners of the Consortium shall be liable jointly and severally for the execution of the Contract;
5. that this Consortium is an association constituted for the purpose of providing consulting services for the Capacity Development for Forest Management and Personnel Training Project under the Contract;
6. that each and every partner to this Consortium shall provide at least one expert for the contract period in any one of the Required areas of expertise that are stipulated in Clause 3.4, Section 3 of the Notice Inviting Expression of Interest;
7. that this Consortium should be post-disqualified in case the Consortium should breach the agreement in respect of item 6 above when submitting a Proposal;
8. that if the Employer accepts the Proposal of this Consortium, it shall not modify the composition or constitution of the consortium formed and proposed in the Proposal until the completion of Contract without the prior consent of the Employer;
Give names and positions of the proposed Consortium Representatives, as well as organization's names and addresses:

<table>
<thead>
<tr>
<th></th>
<th>Name:</th>
<th>Signature</th>
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<tbody>
<tr>
<td>1</td>
<td></td>
<td></td>
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<tr>
<td></td>
<td>Position:</td>
<td>Date:</td>
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<tr>
<td></td>
<td>Representative of: (Organization's Name and Address)</td>
<td></td>
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<td>21</td>
<td>Name:</td>
<td>Signature</td>
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<tr>
<td></td>
<td>Position:</td>
<td>Date:</td>
</tr>
<tr>
<td></td>
<td>Representative of: (Organization's Name and Address)</td>
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<tr>
<td>3</td>
<td>Name:</td>
<td>Signature</td>
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<tr>
<td></td>
<td>Position:</td>
<td>Date:</td>
</tr>
<tr>
<td></td>
<td>Representative of: (Organization's Name and Address)</td>
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</tbody>
</table>
B. Particular Experience Record

To qualify, the Applicant shall be required to pass the specified requirements applicable to this form, as set out in the "Notice Inviting Expression of Interest". In addition, the information provided on the particular experience is used for ranking and selection of qualified applicants for short-listing.

On a separate page, using the format of Form (3a), the Applicant is requested to list all contracts in which consulting services were or being provided by the Applicant for "Related Field Projects" as defined in the "Notice Inviting Expression of Interest" during the last five (5) years. The information is to be summarized, using Form (3a), for each contract completed or under execution, by the Applicant or by each partner in case of Consortium. The value should be based on the currencies of the contracts converted into Indian Rupees, at the date of substantial completion, or for current contracts at the time of award.
**EXPRESSION OF INTEREST FORM (3a)**

**Details of Consulting Services Contracts for Related Field Projects**

Name of Applicant or partner of the Consortium
All individual firms and all partners of a consortium are requested to provide the information in the following form. Use a separate sheet for each contract.

<table>
<thead>
<tr>
<th>Serial Number of contract</th>
<th>Number of total contracts presented in Form (3a) by the Applicant</th>
</tr>
</thead>
</table>

Name of contract
Country where contract is executed
Name of employer

3. Employer’s address and telephone number

Special features of the project for which consulting services were provided under this contract

**Type** of Related Field Project (check one)

<table>
<thead>
<tr>
<th>Type (a) projects</th>
<th>Type (b) projects</th>
</tr>
</thead>
</table>

Contract role (check one)

<table>
<thead>
<tr>
<th>Sole contractor</th>
<th>Subcontractor</th>
<th>Partner in a consortium</th>
</tr>
</thead>
</table>

Value in specified currencies at completion, or at date of award for current contracts.

- **Total Contract Amount:** (amount & name of currency)

- **Sub-Contract Amount** (if the role was sub contractor): (amount & name of currency)

- **Responsible Contract Amount** (if the role was partner in a consortium): (amount & name of currency) (percentage of share)%

Date of award
Date of completion

Total man months of service provided by the Applicant (or each party) and Contract/subcontract duration (years and months)

_______Man / months - years – months

Person Months provided by the Applicant (or each partner) under this contract (Add rows for additional experts (and delete unnecessary rows) if more than one experts were provided in the same area of expertise)

<table>
<thead>
<tr>
<th>Area of Expertise</th>
<th>Experts engaged in the area in the left</th>
<th>Total man/months provided by the expert in the left for this contract and duration</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Name &amp; years of experience in the profession as of the time of assignment</td>
<td>Degrees/diploma and area of specialty as of the time of assignment</td>
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</table>

1) Forestry including JFM

<table>
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<tr>
<th>Man/Month</th>
<th>From to</th>
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</table>

2) Participatory Planning and Assessment including PRA

3) Communication skills / training methods

<table>
<thead>
<tr>
<th>Man/Month</th>
<th>From to</th>
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*FOR THE DEFINITION OF THE TYPE, PLEASE REFER TO CLAUSE 4.2 OF EOI DOCUMENT.*
PERSONNEL CAPABILITIES

Name of Applicant

In-house core competent skilled manpower is essential to contract execution. For specific areas of expertise essential to contract execution, the Applicants should provide the name of at least one employee (employed by the Applicant or partner of the Consortium, i.e., on the payroll in the past one year) in any one of the specified areas of expertise. He/she shall meet the qualification requirements for his/her designated area of expertise that are stipulated in the Notice Inviting Expression of Interest. The data on their experience should be supplied in separate sheets using one Form (4a) for each.

Area of Expertise:
Name of the Employee
Name of the Employer
Area of Expertise
Name of the Employee
Name of the Employer
# Summary: Core Skilled Manpower

**Name of Applicant**

<table>
<thead>
<tr>
<th>Area of Expertise</th>
<th></th>
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</thead>
</table>

**Employee information**

1. Name | 2. Date of birth

<table>
<thead>
<tr>
<th>3. Professional qualifications (Education-University and/or diploma, year of graduation or completion, degree or diploma earned; Publications; Association with professional societies, Professional certifications if any)</th>
</tr>
</thead>
</table>

**Present employment**

4. Name of employer

<table>
<thead>
<tr>
<th>Address of employer</th>
<th>Employed since: (Month Year)</th>
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<table>
<thead>
<tr>
<th>Telephone</th>
<th>Contact (manager/ personnel officer)</th>
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<tbody>
<tr>
<td>Fax</td>
<td></td>
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</table>

<table>
<thead>
<tr>
<th>Job title of candidate</th>
<th>Years with present employer</th>
</tr>
</thead>
</table>

Summarize professional experience over the last 10 years in reverse chronological order. Indicate particular technical and managerial experience relevant to the Project.

<table>
<thead>
<tr>
<th>From</th>
<th>To</th>
<th>Company / Project / Position / Relevant professional experience</th>
</tr>
</thead>
</table>
Financial Capability

Name of Applicant or partner of a consortium

Applicants, including each partner of a consortium, should provide financial information to demonstrate that they meet the requirements stipulated in the Notice Inviting Expression of Interest. Each applicant or partner of a consortium must fill in this form. If necessary, use separate sheets to provide complete banker information. A copy of the balance sheets and statement of profit and losses should be attached.

<table>
<thead>
<tr>
<th>Banker</th>
<th>Name of banker</th>
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</tbody>
</table>

Summarize actual assets and liabilities in Indian Rupees equivalent (at the rates of exchange current at the end of each year) for the previous three years.

<table>
<thead>
<tr>
<th>Financial information in Indian Rupees equivalent</th>
<th>Actual: previous three years (use the accounting year of the applicant or partner)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Accounting Year; 1.</td>
</tr>
<tr>
<td>1. Total assets</td>
<td></td>
</tr>
<tr>
<td>2. Current assets</td>
<td></td>
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<tr>
<td>3. Total liabilities</td>
<td></td>
</tr>
<tr>
<td>4. Current liabilities</td>
<td></td>
</tr>
<tr>
<td>5. Sales Of which consulting services only</td>
<td></td>
</tr>
<tr>
<td>6. Ordinary Profits Of which consulting services only</td>
<td></td>
</tr>
<tr>
<td>7. Profits after taxes Of which consulting services only</td>
<td></td>
</tr>
</tbody>
</table>
Attach audited financial statements for the last three accounting years (for the individual applicant or each partner of a consortium) or financial statements certified by a registered accountant and supported by copies of tax returns, if audits are not required by the laws of their countries of origin. Firms owned by individuals, and partnerships, may submit their balance sheets certified by a registered accountant, and supported by copies of tax returns, if audits are not required by the laws of their countries of origin.
The Department of Forests, Ecology, Environment & Wildlife, Nagaland and its authorized representatives are hereby authorized to conduct any inquiries or investigations to verify the statements, documents, and information submitted in connection with this Expression of Interest, and to seek clarification from our bankers and clients regarding any financial and technical aspects. This Expression of Interest will also serve as authorization to any individual or authorized representative of any institution referred to in the supporting information, to provide such information deemed necessary and as requested by the Ministry to verify statements and information provided in this Expression of Interest, such as the human resources, experience, and competence of the Applicant.

4. The Department of Forests, Ecology, Environment & Wildlife, Nagaland and its authorized representatives may contact the following persons for further information:

<table>
<thead>
<tr>
<th>Experience inquiries</th>
<th></th>
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<tbody>
<tr>
<td>Contact</td>
<td>Telephone</td>
</tr>
<tr>
<td>Personnel inquiries</td>
<td></td>
</tr>
<tr>
<td>Contact</td>
<td>Telephone</td>
</tr>
<tr>
<td>Financial inquiries</td>
<td></td>
</tr>
<tr>
<td>Contact</td>
<td>Telephone</td>
</tr>
</tbody>
</table>

5. This Expression of Interest is made with the full understanding that:
   (a) All information submitted in this Expression of Interest for qualification and selection for short-listing will be subject to verification at the time of submitting proposal by short-listed applicants;
   (b) The Department of Forests, Ecology, Environment & Wildlife, Nagaland reserves the right to reject or accept any application, cancel the qualification and selection for short-listing process at any stage, and reject all applications;
   (c) The Department of Forests, Ecology, Environment & Wildlife, Nagaland shall not be liable for any such actions and shall be under no obligation to inform the Applicant of the grounds for them.

Applicants who are not joint ventures should delete para. 6 and initial the deletion.

6. We confirm that if we submit a proposal, that proposal, as well as any resulting contract, will be:
   (a) Signed so as to legally bind all partners, jointly and severally; and
General Experience Record

Name of Applicant or partner of a Consortium

All individual firms and all partners of a consortium are requested to complete the information in this form. The information supplied should be the annual profits after taxes, and they should be converted to Indian Rupees if they were not in Indian Rupees at the rate of exchange at the end of the period reported. Information in this Form shall be the same as the information on the corresponding items of "Financial Capability" (Form (5)). Use Form (2a) and attach Form (2b) in case the Applicant is a consortium.

Applicants should not be required to enclose testimonials, certificates, and publicity material with their applications; they will not be taken into account in the evaluation of qualifications.

Financial Data (related to activities of consulting services only)
(Unit: INR)

<table>
<thead>
<tr>
<th>Year</th>
<th>Annual turnover</th>
<th>Profit after taxes</th>
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<tbody>
<tr>
<td>1.</td>
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<tr>
<td>2.</td>
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<tr>
<td>3.</td>
<td></td>
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